



PHYSICIAN CERTIFICATION FOR LONG-TERM CARE SERVICES

State Form 38143 (R5 / 6-93) Form 450B / PASARR2A

Indiana Family and Social Services Administration (IFSSA)

CONFIDENTIAL

ASSESSMENT TYPE

- Initial Assessment
- Re-Screening
- ARR

MEDICAID STATUS

- Medicaid Pending
- Medicaid Recipient
- Non-Medicaid

Name of contact	Upon completion return to: <input type="checkbox"/> Area PAS agency <input type="checkbox"/> IFSSA <input type="checkbox"/> Integrated Field Services Case Manager <input type="checkbox"/> Other _____
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I - RECIPIENT IDENTIFICATION

Name of applicant (<i>last, first, middle</i>)	Date of birth (<i>mo., day, yr.</i>)	Sex	Name of county
Name of nursing facility or ICF / MR	Facility admission date (<i>mo., day, yr.</i>)	Medicaid number	
Address of facility (<i>street and number</i>)	Re-admission date from hospital	Level of care transfer date	
City, state and ZIP code	Requested length of care <input type="checkbox"/> Short-term <input type="checkbox"/> Long-term	Facility provider number(s) "I". "S".	
Admitted from: <input type="checkbox"/> c. Home <input type="checkbox"/> f. Out-of-state _____ <input type="checkbox"/> a. Acute Hospital <input type="checkbox"/> d. Nursing Facility _____ <input type="checkbox"/> b. Psychiatric Bed <input type="checkbox"/> e. ICF/MR <input type="checkbox"/> g. Other _____			

II - PHYSICIAN'S MEDICAL EVALUATION

Federal and state regulations require a physician's medical evaluation, plan of treatment and explicit recommendation for care prior to admission or continued care in a nursing facility, the C.H.O.I.C.E. program, or the Medicaid Home and Community-Based Waiver program.

Patient Evaluation (*check all applicable boxes below. "*" requires explanation in "Clinical Summary"*)

- | | | | |
|---|---|---|---|
| <input type="checkbox"/> Ambulatory | <input type="checkbox"/> Contractures | <input type="checkbox"/> Colostomy / Ileostomy | <input type="checkbox"/> Self Fed |
| <input type="checkbox"/> Wheelchair | <input type="checkbox"/> Incontinent (<i>bladder</i>) | <input type="checkbox"/> Other Ostomy | <input type="checkbox"/> I.V. Fluids / Nutrition * |
| <input type="checkbox"/> Cane or Walker | <input type="checkbox"/> Incontinent (<i>bowel</i>) | <input type="checkbox"/> Aphasic | <input type="checkbox"/> Tube Fed - Type _____ |
| <input type="checkbox"/> Bedfast | <input type="checkbox"/> Catheter _____ | <input type="checkbox"/> Agitated / Combative | <input type="checkbox"/> Decubiti (<i>size, stage, treatment</i>) * |
| <input type="checkbox"/> Ventilator Dependent | <input type="checkbox"/> Tracheotomy | <input type="checkbox"/> Confused / Disoriented | <input type="checkbox"/> Other * _____ |

Primary diagnosis (<i>include dates</i>)	Secondary / tertiary diagnosis (<i>include dates</i>)
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Patient's overall prognosis

Plan and Treatment (*check all applicable boxes below. "*" requires explanation in "Clinical Summary"*)

- | | | | |
|--|--|---|---|
| <input type="checkbox"/> Medications (<i>describe below</i>) | <input type="checkbox"/> Regular Diet | <input type="checkbox"/> Minimum Nursing Intervention | <input type="checkbox"/> Independent with ADLs |
| <input type="checkbox"/> Restorative Services * | <input type="checkbox"/> Other (specify _____) | <input type="checkbox"/> Moderate Nursing Intervention * | <input type="checkbox"/> Assisted with ADLs |
| <input type="checkbox"/> Sterile Dressing * | <input type="checkbox"/> _____ | <input type="checkbox"/> Intensive Nursing Intervention * | <input type="checkbox"/> Dependent for all ADLs |

Medications (*dosage and frequency*)

Clinical summary (*attach additional information as necessary*)

LEVEL OF CARE PHYSICIAN CERTIFICATION

Complete for all Applications		Complete for Home Care (<i>if applicable</i>)	
Level of care recommended	<input type="checkbox"/> Skilled <input type="checkbox"/> Intermediate	<input type="checkbox"/> Medicaid Home and Community Based Waiver service	
<input type="checkbox"/> ICF/MR - Large/Small	<input type="checkbox"/> Other (<i>specify</i>) _____	<input type="checkbox"/> C.H.O.I.C.E.	
I certify that community supported in-home care is <input type="checkbox"/> safe and feasible <input type="checkbox"/> not safe or feasible in regard to health and safety of this patient. If not safe or feasible, explain.			

Signature of physician (<i>stamps are NOT acceptable</i>)	Date signed (<i>month, day, year</i>)	Typed or printed name of physician
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III - STATE DEPARTMENT AUTHORIZATION

This certification is for: <input type="checkbox"/> Admission <input type="checkbox"/> Transfer <input type="checkbox"/> Continued Care	Comments
<input type="checkbox"/> Approved <input type="checkbox"/> Disapproved	Effective Medicaid reimbursement date
Authorized signature <input type="checkbox"/> IFSSA <input type="checkbox"/> Area PAS agency	Date signed (<i>month, day, year</i>)

INSTRUCTIONS
Physician's Certification for Long-Term Care Services

1. Form 450B is used for both Medicaid and private-pay applicants for long-term care services and C.H.O.I.C.E. eligibility. Do not use for non-Medicaid/private pay individuals being readmitted from hospitalizations or being transferred to another facility.
2. Form 450B shall be completed for persons making application for long-term care services.
3. The recipient's or patient's physician shall complete Section II, PHYSICIAN'S MEDICAL EVALUATION, including the patient's evaluation, plan of treatment, specify a level of care, sign, date and return the original to the appropriate agency as designated below.

Pre-Admission Screening	Local PAS Agency
C.H.O.I.C.E.	Local Area Agency on Aging
ICF / MR	Integrated Field Services Case Manager
Facility Transfers	State Office of Medicaid Policy and Planning
Medicaid Waiver Application	Local Area Agency on Aging
Medicaid Waiver Redetermination	Waiver Case Manager

4. Form 450B will be sent to the State Office of Medicaid Policy and Planning for final review and determination.
For C.H.O.I.C.E. applicants / clients and private pay applicants for long-term care, Form 450B will be sent to the Area Agency on Aging for final review and determination.
5. The decision on admission, as well as the level of care (*as applicable*), will be entered in Section III and will be sent to the County Division of Family and Children, to the nursing facility and the PAS agency as appropriate.
6. For ICF / MR applicants, Section VI must also be completed and submitted for level of care determinations.
For PAS ARR/ MR applicants / residents requiring a Level II assessment, Section VI must also be completed and submitted for level of care determinations.

Appeal Rights / How to Request an Appeal

If you are not satisfied with this decision, you may request an appeal within 30 days of the date of receipt of this decision. Send a letter with your signature to the Indiana Family and Social Services Administration, Division of Family and Children, Hearings and Appeals, 402 W. Washington St., Rm. W392, Indianapolis, Indiana 46204. (470 IAC 1-4 *et. seq.*) Be sure that the letter contains your address and a telephone number where you can be reached. It is also helpful if you attach a copy of this decision or state the nature of the action you are appealing. If you are unable to write this letter yourself, you may have someone assist you in requesting this appeal.

You will be notified in writing by the Division of Family and Children of the date, time and place for the hearing. Prior to, or at the hearing, you will have the right to examine the entire contents of your case record. You may represent yourself at the hearing or authorize a representative such as an attorney or other spokesperson to do so. At the hearing you will have full opportunity to bring witnesses, establish all pertinent facts and circumstances, advance any arguments without interference and question or refute any testimony or evidence presented.

C.H.O.I.C.E. PROGRAM APPLICANTS / CLIENTS: If you are not satisfied with the decision on your C.H.O.I.C.E. case, you should discuss this matter with staff at your Local Area Agency on Aging.

DISCLOSURE STATEMENT

The personal information requested on this form will be used in the determination of your entitlement to or continued receipt of public assistance and/or services administered by the State of Indiana. Disclosure of the information requested is mandatory pursuant to the provision of IC 12-15-2 *et. seq.* (Medicaid Programs); IC 12-10-10 *et. seq.* (C.H.O.I.C.E. Program); and IC 12-21 (Division of Mental Health). Non-disclosure of the information requested will hamper and possibly prevent the delivery of assistance or services to you. All personal information collected on this form will be treated as confidential pursuant to Regulation 470 IAC 1-3-1.



CERTIFICATION BY PHYSICIAN FOR LONG-TERM CARE SERVICES AND PHYSICAL EXAMINATION FOR PASARR LEVEL II

State Form 45278 (2-92) / Form 450B/PASARR2A - Section VI

This form is **CONFIDENTIAL** according to IC 12-1-7 *et esq.*, IC 4-28-6.1, IC 16-4-1.6-8, 470 IAC 1-3-1.

INSTRUCTIONS: *This form must be completed for long-term care services for individuals with mental retardation; developmental disability or related condition.*

Name of applicant / resident	Name of facility / city
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VITALS

Weight	Height	Pulse	Blood pressure	Temperature
HEAD	EYES <i>(Include Fundi)</i>		EARS	
	<i>(Complete if individual is visually impaired.)</i>		<i>(Complete if the individual is hearing impaired.)</i>	
	DISTANT VISION	R	L	HEARING <i>(Air Conduction)</i>
	Uncorrected	/20	/20	CPS 500 1000 1500 2000 4000 6000
	Corrected	/20	/20	Weber Test R
			R	L
				Renne Test L

PHYSICAL EXAM

	N	AB	Describe abnormalities checked on physical:
1. Nose			
2. Throat			
3. Mouth / Teeth			
4. Speech / Language			
5. Neck			
6. Lymphatic system			
7. Chest			
8. Breasts			
9. Lungs			
10. Cardio Vascular			
11. Abdomen			
12. Genitalia			
13. Orthopedic			
14. Neurological			
15. Skin			
FEMALE			
16. Pelvic			
17. Rectal			
18. Bimanual exam			
MALE			
19. Rectal			
20. Prostate			

DISPOSITION AND RECOMMENDATION

Referral or special examinations required?		
<input type="checkbox"/> No <input type="checkbox"/> Yes <i>(if "Yes", specify)</i>		
Medical rehabilitation potential:	Type of facility recommended:	
<input type="checkbox"/> Good <input type="checkbox"/> Fair <input type="checkbox"/> Poor		
Signature of physician	Typed/Printed name of physician	Date